

**HK TRUSTEES' ASSOCIATION LTD**  
**c/o Deacons**  
**6/F Alexandra House**  
**Chater Road, Central, Hong Kong**

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**A SEMINAR ON**  
**TRUSTEE INVESTMENT RISK MANAGEMENT:**  
**HOW TO AVOID THE COSTS OF LITIGATION**

Speaker: Mr James Day (Managing Director, Peritus Investment Consultancy)

Date: Wednesday, 17 July 2013

Time: 6.00 p.m. – 7.00 p.m.

Venue: HK International Arbitration Centre,  
38/F Two Exchange Square,  
Central, Hong Kong

James Day is Managing Director and founder of Peritus Investment Consultancy located in Zurich. Peritus guides wealthy private clients and trusts through the complex task of risk profiling, strategic asset allocation, investment manager selection and ongoing performance evaluation. The company also provides performance monitoring solutions and reviews exclusively for the Trust Industry as well as supplying investment risk policies and procedures. James also acts as expert witness focusing solely on Trust related investment issues. The company places great emphasis on strong ethical values and the company's mission is "to enhance the investment experience clients have in the management of their wealth".

James has over 20 years of industry experience having worked at Morgan Stanley Quilter, ABN AMRO prior to joining Ernst and Young Trust Company as Director of Investment Services where he established a team dedicated to performance evaluation, manager selection and risk management for the Trust Companies clients.

Based on extensive expert witness cases, the presentation will focus on the main areas where Trustee investment claims have arisen in recent years. Each case study will address the highest areas of Trustee Investment Risk with specific emphasis on the challenges of:-

- Inaccurate Risk Profiling
- Performance Monitoring
- Investment Manager Selection
- Fee Arrangements
- Policies and Procedures
- Execution, Advisory, Discretionary Relationships

Having identified the main risks, the probability of claims and the likely quantum, a range of practical solutions will be provided leaving the Trustee better protected for the future.

Those interested should register using the attached seminar enrolment form.

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**SEMINAR ENROLMENT FORM**

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The cost per person is HK\$300 for members of the HK Trustees' Association Ltd\* and STEP, and HK\$400 for non-members. (\*Any staff, not only the nominated representative of the HKTA member, can attend the seminar at a cost of \$300). Applications for ten or more members can enrol at a special discount fee of \$275 per person. Please complete this form and return it to us at the above address with your cheque made payable to **HK Trustees' Association Limited**.

Name of Member/Company \_\_\_\_\_

Name(s) of attendee(s) \_\_\_\_\_

\_\_\_\_\_

Cheque total: \_\_\_\_\_ Cheque No. \_\_\_\_\_

Contact Person: \_\_\_\_\_ Telephone: \_\_\_\_\_

Email: \_\_\_\_\_ Fax: \_\_\_\_\_

(NB: Payments are non-refundable unless the event is cancelled by the Association.  
Bookings are only confirmed with payment, on a first-come, first-served basis)