

**WEBINAR ON
ASSET MANAGEMENT –
RECENT TRENDS, THEATS & OPPORTUNITIES IN THE NEW ERA**

Speaker: Ms. Sophia Man, Partner, Baker & McKenzie
Ms. Grace Fung, Special Counsel, Baker & McKenzie

Date: Wednesday, 27 May 2020

Time: 4:30pm – 6:00pm (including Q&A session)

CPD point: 1.5 points for HKTA Certified Trust Practitioner TM

Fee: HK\$400 (member); HK\$450 (non-member)

The talk will cover:

1. Overall landscape
2. Recent regulatory changes
3. SFC focus in asset management
4. Asset management in the new era
5. Questions

Speaker biography:

Ms. Sophia Man, Partner, Baker & McKenzie (Email: sophia.man@bakermckenzie.com)

Sophia is a partner in Baker McKenzie's Hong Kong office and a member of the firm's Financial Services Group. She has over 18 years' experience in advising trustees, fund managers, custodians, administrators and employers on fund formation, restructuring, investment and regulatory matters. Sophia is Chairlady of the Retirement Schemes Committee of the Hong Kong Law Society. She is also a Certified Trust Practitioner accredited by the Hong Kong Trustees' Association.

Sophia's current practice focuses on pension products. She advises leading pension service providers on establishment, on-going maintenance, compliance and regulatory (including investigations and enquiries), M&A and restructuring (e.g., scheme merger) issues relating to pension schemes. Her clients also include global and regional fund managers, hedge fund managers and trustees of non-pension funds.

Ms. Grace Fung, Special Counsel, Baker & McKenzie (Email: grace.fung@bakermckenzie.com)

Grace Fung is a special counsel in Baker McKenzie's Hong Kong office and a member of the firm's Financial Services Group. Grace has over 12 years' experience in the financial services sector. Her practice focuses on advising banks, sponsors, brokers, asset managers, trustees and multinational financial institutions on a wide variety of regulatory, compliance and corporate law matters. She has previously worked at the Securities and Futures Commission and has extensive experience in dealing with the regulators.

Grace regularly advises financial institutions on structuring new products/ services, compliance with regulatory changes, and handling inspections and investigations. Grace is also active in the fintech space and advises start up and technology companies from time to time on fintech initiatives.

Important note: Webinar facility will be provided by Baker & McKenzie. In order to create access to the Baker & McKenzie platform, participants' names and email addresses will be passed to Baker & McKenzie upon registration with HKTA. A Zoom link will be provided for participants on 25 May 2020 which they should access by providing the same full name provided in this enrolment form.

For further enquires:

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WEBINAR ENROLLMENT FORM

Topic: Assets Management – Recent Trends, Threats & Opportunities in the New Era
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Ms. Grace Fung, Special Counsel, Baker & McKenzie
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Name of Company _____

Name(s) of Attendee(s) _____

Contact Person: _____ Phone: _____

(Please provide email address of each attendee for sending confirmation and attendance certificate)

Email: _____ Mobile: _____

Fee & Payment (please tick as appropriate)

☐ HK\$400 (member) x _____ Person = HK\$ _____

☐ HK\$450 (non-member) x _____ Person = HK\$ _____

Payment method (please tick as appropriate)

☐ Cheque payment (payable to “HK TRUSTEES’ ASSOCIATION LTD”) is attached and sent to **HKTA, Room 1103, 11/F., V Heun Building, 138 Queen’s Road Central, HK**

☐ By bank transfer (**Standard Chartered Bank A/C No. 447-0-024582-3**). Please email the bank receipt (indicate attendee’s full name and seminar date on it) to carmenwong@hktrustees.com

e-Receipt will be issued in favour of company name unless otherwise stated: _____

Do you require an attendance certificate? (please tick as appropriate)

☐ Yes (**attendees must provide and sign in the e-seminar with the same full name**)

HKTA CTP no: _____

☐ No

Personal data (please tick)

☐ I agree to have my name and email address sent to Baker & McKenzie for the purpose of attending the webinar

Future Communication

☐ I agree to receive correspondence from the HKTA about its upcoming seminars, training courses, conferences and related activities.

(Your data will not be used for other purposes and is held under strict controls in accordance with the Hong Kong Data Privacy Ordinance.)

Note:

1. Post-dated cheque will **NOT** be accepted.
2. Payment are non-refundable unless the event is cancelled by the Association.
3. e-Attendance certificate will be issued within 10 working days after the webinar. **Attendees must provide and sign in the webinar with the same full name provided in this enrolment form.**