

HK TRUSTEES' ASSOCIATION LTD
c/o Deacons
6/F Alexandra House
Chater Road, Central, Hong Kong

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A SEMINAR ON

RISK CULTURE AND RISK AWARENESS
(especially at working levels)

Speaker: Mr Dominic Wu (Managing Director and Senior Risk Manager, Financial Markets and Treasury Services, Bank of New York Mellon)

Date: Wednesday, 13 March 2012

Time: 6.00 p.m. – 7.00 p.m.

Venue: HK International Arbitration Centre,
38/F Two Exchange Square,
Central, Hong Kong

Dominic Wu is the Managing Director and Senior Risk Manager with BNY Mellon covering the operational risk activities within their Treasury and Financial Markets in Asia Pacific. Dominic has more than 18 years of extensive experience in the investment, commercial, consumer, custodian and private banking practice gained from Japanese, European and US banks, and also in an international accounting and consulting firm specialising in information and operational risk management formulation and execution. Dominic is a sought out speaker and has spoken in various ERM, ORM and Financial Services conferences and forums organized by IQPC, GARP, Hong Kong Securities Institute, Hong Kong Institute of Bankers, Hong Kong Institute of Certified Public Accountants and various Universities in Hong Kong and Singapore. He has also led several industrial working groups to address the issues and work out the roadmap for the ORM practice. Formerly, he has been a tutor with the University of Hong Kong lecturing on investment and accounting to the general public. He has also written articles on promoting ORM awareness and practices in Asia-Pacific which is published in books and newspapers. He was a consultant working for Risk Management Institute of National University of Singapore to develop and deliver the professional programme on operational risk management. Dominic is a Certified Fraud Examiner (US), Chartered Accountant (UK), Certified Public Accountant (HK), Certified Information Auditor (US), Chartered Company Secretary (UK & HK), a Professional Member of the Institute of Operational Risk (HK) and did his MBA from the University of Hull, UK. Dominic Wu is the Chairman of the Asia Chapter of the Institute of Operational Risk (IOR), which is a global professional body dedicated to Operational Risk and is based in London.

Risk culture and awareness is core to the business in relation to staff, the procedures, relationship with stakeholders, the governance and conformity to market practice and regulations. Dominic will talk about:

- The importance of a sound risk culture
- Risk vulnerabilities in the Trust Industry
- Enhancing risk awareness throughout the organisation
- Linking risk management to the success of the business
- Case studies

Those interested should register using the attached seminar enrolment form.

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SEMINAR ENROLMENT FORM

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The cost per person is HK\$300 for members of the HK Trustees' Association Ltd* and STEP, and HK\$400 for non-members. (*Any staff, not only the nominated representative of the HKTA member, can attend the seminar at a cost of \$300). Applications for ten or more members can enrol at a special discount fee of \$275 per person. Please complete this form and return it to us at the above address with your cheque made payable to **HK Trustees' Association Limited**.

Name of Member/Company _____

Name(s) of attendee(s) _____

Cheque total: _____ Cheque No. _____

Contact Person: _____ Telephone: _____

Email: _____ Fax: _____

(NB: Payments are non-refundable unless the event is cancelled by the Association. Bookings are only confirmed with payment, on a first-come, first-served basis)