

***Joint Seminar on “The Direction of TCSP
Regulation and the Impact of the FATF Mutual
Evaluation Report on Hong Kong”***

Supporting Organisation

- Date : Wednesday, 27 November 2019
- Topic : The Direction of TCSP Regulation and the Impact of the FATF Mutual Evaluation Report on Hong Kong
- About the Course : In this seminar, the speakers will discuss the following items:
- Brief Overview of New TCSP Licensing Regime and Enforcement Trends
 - Overview of FATF Results
 - TCSP Regulation Findings
 - Trust Sector Findings
 - Looking Ahead
- Time : 4.30 pm - 6.00 pm (Registration at 4.15 pm)
- Speakers : • **Mrs Natalia Seng FCIS FCS(PE)**, Vice Chairman of Tricor Hong Kong & Offshore, Tricor Group / Tricor Services Limited
• **Mr William Hallatt**, Partner, Head of Financial Services Regulatory, Asia, Herbert Smith Freehills
• **Ms Hannah Cassidy**, Partner, Herbert Smith Freehills
- Level : Intermediate
- Language : English
- Venue : Admiralty Conference Centre,
1804A, Tower 1, Admiralty Centre,
18 Harcourt Road, Admiralty, Hong Kong
- Fee : HK\$320 for HKICS/HKTA members
HK\$230 for HKICS students
HK\$420 for non-members
- Accreditations : HKICS : (1.5 ECPD points)
HKTA : (1.5 CPD points)

[Register Online](#)



About the Speakers

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Mrs Natalia Seng

Mrs Natalia Seng FCIS FCS(PE) is the Vice Chairman of Tricor Hong Kong and Offshore, Tricor Group. She has been the CEO of Tricor Hong Kong and China from 2012 to 2018 and she leads the strategic development of Tricor's operations across Hong Kong, China and Offshore markets. She was in the founding team of the Company Secretarial Practice of Ernst & Young in Hong Kong for two decades up to year 2002, before the practice was sold to Tricor Group. Her professional practice area covers business advisory, corporate governance, fiduciary services and regulatory compliance for private and public listed companies and non-profit organisations.

Natalia is a Chartered Secretary, a Past President (2007-2009) and an incumbent Council Member of The Hong Kong Institute of Chartered Secretaries (HKICS). She is also a Fellow of The Taxation Institute of Hong Kong (TIHK) and The Hong Kong Institute of Directors (HKIoD). She was an international Council Member of the Institute of Chartered Secretaries and Administrators (ICSA) from 2010 to 2014. In recognition of her contributions to the development of company secretary services and enhancement of corporate governance, she was awarded "The Hong Kong Institute of Chartered Secretaries Prize 2017". Natalia has been awarded one of the Outstanding PolyU Alumni by The Hong Kong Polytechnic University in 2019.

Natalia has been appointed by the Government as a member of the Standing Committee on Company Law Reform (SCCLR) for a period of two consecutive terms (February 2016 - January 2020). She represented HKICS as a member of an Advisory Group on the Rewrite of the Companies Ordinance. She was also appointed by the Government as a lay member to the Council of the Hong Kong Institute of Certified Public Accountants (HKICPA) (December 2013 - November 2015).

Natalia holds a Master's degree in Business Administration (Executive) from City University of Hong Kong. She is a Council Member of The Hong Kong Committee for UNICEF since September 2017.

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Mr William Hallatt

Mr William Hallatt is the Partner and the Head of Financial Services Regulatory in Asia of Herbert Smith Freehills. He has over 15 years' experience as a trusted adviser in the regulatory arena.

William's clients include major investment banks, wholesale and retail banks, hedge funds, private wealth managers, investment managers and private equity firms. He is one of the leading experts globally on culture and conduct and regularly works with clients across Asia on new regulatory developments, compliance advisory matters and fintech. William also advises on the extraterritorial impact of third country regulation, such as MiFID II and the EU Benchmark Regulation, on financial services firms operating in Asia.

William has deep contentious experience, representing firms on numerous actions brought by regulators including the Hong Kong SFC and the HKMA, including most recently major investigations relating to IPO sponsor misconduct, anti-money laundering and terrorist financing compliance, systems and controls failures, market misconduct and cyber-security breaches. He has also conducted numerous internal investigations for financial institutions, in particular reviews of firms' governance arrangements and/or systems and controls in the context of Hong Kong, European and UK regulatory requirements and expectations.

William has close working relationships with key regulators, both at a local jurisdictional and an international level. He is heavily involved in regulatory reform initiatives and regularly leads discussions with the regulators on behalf of the financial services industry. This includes working closely with leading industry bodies, including ASIFMA and AIMA.

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Ms Hannah Cassidy

Ms Hannah Cassidy is the Partner of Herbert Smith Freehills. She advises financial institutions on regulatory policy, operations and investigations.

Hannah advises international and regional financial institutions in the investment banking, retail banking, wealth management, asset management and insurance sectors.

Her detailed understanding of UK, EU and Asian regulatory regimes is particularly invaluable to institutions working across international borders.

Working at every level from board to compliance and business teams, Hannah advises on issues such as conduct risk, governance arrangements, individual accountability, mis-selling and complaints-handling.

She regularly works with clients on contentious regulatory cases, including cross-border investigations, involving systems and controls breaches, insider dealing and other issues.