



香港信託人公會

Hong Kong Trustees' Association

2023 HKTA CONFERENCE

“TRUST Hong Kong”

**“CERTIFIED TRUST PRACTITIONER™” &
“TRUST TRAINING COURSE” CERTIFICATE
PRESENTATION CEREMONY AND COCKTAIL RECEPTION**

16 November 2023





香港信託人公會
Hong Kong Trustees' Association

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HKTA would like to extend our special appreciation to all speakers, panellists, supporting organisations, delegates, and all those who have helped in the organisation of the HKTA Conference 2023.

Supporting Organisations



公司註冊處
COMPANIES REGISTRY



FamilyOfficeHK
香港家族辦公室



強制性公積金計劃管理局
MANDATORY PROVIDENT FUND
SCHEMES AUTHORITY



SECURITIES AND
FUTURES COMMISSION
證券及期貨事務監察委員會



Deloitte.



Chairman's Message



Ms. Ka Shi Lau, BBS
Chairman, Hong Kong Trustees' Association

On behalf of the Hong Kong Trustees' Association ("HKTA"), it is my utmost honour to welcome you to the HKTA Conference 2023. I also extend our Association's special gratitude to our distinguished Guest of Honour, Mr. Christopher Hui, GBS, JP, Secretary for Financial Services & the Treasury, HKSAR Government. It is a privilege to have senior executives of key regulators (Securities and Futures Commission, Hong Kong Monetary Authority and Mandatory Provident Fund Schemes Authority) as well as the Honourable Geoffrey Ma, GBM and various renowned experts from the trust industry as our speakers today to share their invaluable experiences and insights.

Carrying the theme of "Trust Hong Kong", this year's Conference will focus on why Hong Kong is still being trusted by the international community and continues to serve as a platform for exchanging ideas as well as to help practitioners acquire actionable industry foresights. It covers the topics on the latest industry development and challenges, including the expectations on trustees given the recent introduction of different regulatory requirements for the trustees, the legal framework in supporting Hong Kong businesses, the updates on Hong Kong Family Offices initiatives as well as the role of Hong Kong trustees in Family Offices.

To enhance the education and knowledge of practitioners and develop talent in trust and fiduciary services, HKTA has partnered with the Hong Kong Securities and Investment Institute and launched a Trust Training Certificate ("TTC") accreditation programme in 2018. The accreditation will confer a Certified Trust Practitioner™ ("CTP") designation to individuals who have successfully accomplished the accreditation requirements. TTC is a core component of achieving HKTA CTP designation.

A "Certified Trust Practitioner™" & "Trust Training Course" Certificate Presentation Ceremony and Cocktail Reception 2023 will be held, following the Conference to celebrate their commitment and achievements. It is our great pleasure to have our Guest of Honour, Mr Laurence Li, Chairman of Financial Services Development Council, to officiate at the ceremony. My warmest congratulations go to all awardees today. TTC Graduates and CTPs have passed rigorous examinations demonstrating a high-level technical knowledge of trust and fiduciary services. It is a remarkable achievement that promotes high standard of professionalism of trust specialists in Hong Kong.

To conclude, I would very much like to express my profound appreciation to all the honourable guests, leading speakers and moderators, supporting organisations and participants for their unfailing support in making this Conference and Cocktail Reception a successful event. I would also like to offer my sincere gratitude to the members of Organising Taskforce for their dedication and contributions. Moving forward, HKTA will continue representing the trust industry in promoting high standards of professionalism, corporate governance and regulatory compliance towards a brighter future.

Conference Program

| | |
|-----------------|--|
| 2:00pm – 2:30pm | Registration |
| 2:30pm – 2:40pm | Welcome Remarks Ms. Ka Shi Lau, BBS <i>Chairman, Hong Kong Trustees' Association</i> |
| 2:40pm – 3:00pm | Keynote Address Mr. Christopher Hui, GBS, JP <i>Secretary for Financial Services and the Treasury</i> |
| 3:00pm – 3:45pm | Session 1: Regulator Panel Discussion - Expectation on Trustee & Depositary Panelists: Ms. Christina Choi <i>Executive Director, Investment Products Division Securities and Futures Commission</i> Mr. Alan Au, JP <i>Executive Director (Banking Conduct), Hong Kong Monetary Authority</i> Mr. Eric Cheng <i>Executive Director (Policy), Mandatory Provident Fund Schemes Authority</i> Moderator: Ms. Cynthia Chung <i>Partner, Head of Human Resources & Pensions and Head of Corporate Commercial Deacons</i> |
| 3:45pm – 4:15pm | Networking Time |
| 4:15pm – 4:45pm | Session 2: Chairman's Fireside Chat with The Honourable Geoffrey Ma, GBM |
| 4:45pm – 4:55pm | Session 3: InvestHK Family Office update on HK Family Office initiatives Mr. Jason Fong <i>Global Head of Family Office, Invest Hong Kong</i> |
| 4:55pm – 5:40pm | Session 4: Panel Discussion - The Role of Hong Kong Trustees in Family Offices Panelists: Dr. King-lun Au, MH <i>Executive Director, Board Member, Financial Services Development Council</i> Ms. Deirdre Fu <i>Partner, Wealth Planning and Tax, Withers</i> Ms. Carolyn Butler <i>Managing Director, International Fiduciaries</i> Mr. Alvin Yap <i>Group Managing Director and Principal Consultant A.D. Financial Group – Asian Multi Family Office</i> Moderator: Mr. Michael Shue <i>Vice Chairman, Hong Kong Trustees' Association</i> |
| 5:40pm – 5:50pm | Session 5: HKTA Accreditation Program Ms. Carolyn Butler <i>Chairman of Education Subcommittee, Hong Kong Trustees' Association</i> |
| 5:50pm – 6:00pm | Closing Remarks Mr. Michael Shue <i>Vice Chairman, Hong Kong Trustees' Association</i> |

Keynote Speaker



Mr. Christopher Hui, GBS, JP
Secretary for Financial Services and the Treasury

Mr Hui has been Secretary for Financial Services and the Treasury since 2020. He serves on the boards of Mass Transit Railway Corporation Limited, Hong Kong Airport Authority and also as the Chairman of the Kowloon-Canton Railway Corporation.

He was an Administrative Officer in the Government from 1999 to 2003 and served in the Economic Development Branch, the Office of the Government of the Hong Kong Special Administrative Region in Beijing and the Home Affairs Department. After leaving the Government, Mr Hui spent 13 years at the Hong Kong Exchanges and Clearing Limited, having been the Managing Director for Market Development and Head of Project Management and also in various senior roles in Listing and Market Development. He worked for a number of multi-national companies in the private sector as well. He was appointed the Executive Director of the Hong Kong Financial Services Development Council from 2019 to 2020.

Mr Hui previously held a number of public roles including Councillor of the City University of Hong Kong, Member of the Mandatory Provident Fund Schemes Authority Process Review Panel, Hong Kong Stock Exchange Listing Review Committee and also Hong Kong Institute of Certified Public Accountants Disciplinary Panel.

Mr Hui holds Bachelor and Master (Hon) Degrees in Philosophy, Politics and Economics from the University of Oxford, and Master of Business Administration Degree from INSEAD. He also attended the Stanford Executive Programme (SEP).

Speakers



Ms. Christina Choi
Executive Director, Investment Products Division
Securities and Futures Commission

Ms Choi is a member of the Securities and Futures Commission (SFC). She is also an Executive Director with responsibility for the Investment Products Division, which is responsible for authorising and supervising collective investment schemes and other investment products as well as for the development and implementation of the SFC's asset management strategy and policies.

Ms Choi joined the SFC in 2005. She has extensive regulatory knowledge and experience in the policies and requirements for investment products both in Hong Kong and internationally. She played a lead role in the design and launch of various mutual recognition of funds (MRF) arrangements between Hong Kong and other jurisdictions, in particular the ground-breaking MRF arrangements with Mainland China.

Prior to joining the SFC, Ms Choi practised as a solicitor in Hong Kong and was a partner of the Corporate Group of the international law firm, Clifford Chance.

Ms Choi is currently Chair of the International Organization of Securities Commissions' Committee on Investment Management. She is also a member of the Hong Kong Trade Development Council's Financial Services Advisory Committee and the Asian Financial Forum Steering Committee.

Ms Choi chairs the SFC's Products Advisory Committee and Committee on Real Estate Investment Trusts. She also sits on the SFC (HKEC Listing) Committee and the Executive Committee.



Mr. Alan Au, JP
Executive Director (Banking Conduct)
Hong Kong Monetary Authority

Alan Au is the Executive Director of the Banking Conduct Department of the Hong Kong Monetary Authority (HKMA). He is responsible for conduct supervision of banks in Hong Kong (including consumer protection and financial inclusion; sale of securities, investment, insurance and Mandatory Provident Fund products by banks; trust business of banks; bank culture; bank licensing matters) as well as oversight of financial market infrastructures.

Mr Au joined the HKMA in 2010 as Head of the Corporate Development Division. He also served as Administrative Assistant to the Chief Executive of the HKMA and worked as Division Head in the Banking Supervision Department, before taking up the present position in 2017.

Prior to joining the HKMA, Mr Au had served as an Administrative Officer in the HKSAR Government for over a decade, and had been posted to various offices and policy bureaux in the Government Secretariat including the Financial Secretary's Private Office and Financial Services and the Treasury Bureau.

Speakers



Mr. Eric Cheng
Executive Director (Policy)
Mandatory Provident Fund Schemes Authority

Mr Eric Cheng is the Executive Director (Policy) of the Mandatory Provident Fund Schemes Authority (MPFA), responsible for formulating strategies, policies and legislative amendments to reform the Mandatory Provident Fund (MPF) System for enhancing retirement protection for the working population. He also oversees regulation, approval and monitoring of compliance in relation to MPF investments, and steers MPFA's participation in the International Organisation of Pension Supervisors.

Mr Cheng joined the MPFA in 2021 as Director (Policy Development and Research) and has been appointed to the current position with effect from 1 July 2023. Before joining the MPFA, he had been in the Administrative Officer grade with the Government of the Hong Kong Special Administrative Region, serving in various Government bureaux and departments including the former Home Affairs Bureau, Education Bureau and Financial Secretary's Office, and on secondment to the Organisation for Economic Co-operation and Development.

Mr Cheng holds a master's degree in public policy from the University of Oxford, and a master's degree in economics and a bachelor's degree in actuarial science from the University of Hong Kong.



Ms. Cynthia Chung
Partner
Head of Human Resources & Pensions and Head of Corporate Commercial
Deacons

Cynthia Chung is the Head of Human Resources and Pension Group and Head of the Corporate Commercial Group at Deacons. She has 25+ years of experience in all kinds of advisory work related to employment and labour and on retirement schemes and provident funds.

She advises clients on both contentious and non-contentious labour matters and the preparation of trust deeds and related documents for retirement and pension schemes. Her clients include employers who are multi-national companies and statutory bodies and MPF/ORSO service providers. She is the Executive Committee Member and Honorary Legal Adviser of The Hong Kong Retirement Schemes Association, Member of the Retirement Scheme sub-committee of the Law Society and the Guidelines Committee of the Mandatory Provident Fund Schemes Authority.

She is recognised as a Band 1 Lawyer in Employment (China) in Chambers Asia-Pacific, "Hall of Fame" for Labour and Employment in Legal 500 Asia Pacific and a Thought Leader in Labour & Employment and Pensions & Benefits in Hong Kong by the Who's Who Legal.

Speakers



The Honourable Geoffrey Ma, GBM
The former Chief Justice of Hong Kong

The Hon Geoffrey Ma GBM is the former Chief Justice of Hong Kong, having retired from that position in January 2021. He is now a professional arbitrator and mediator.

Prior to joining the Hong Kong Judiciary, he was in private practice as a barrister at Temple Chambers. He practised mainly in commercial, company and public law, including arbitrations. He was Head of Chambers before his appointment as a Judge of the Court of First Instance of the High Court in 2001. He was elevated to the Court of Appeal in the following year, and appointed Chief Judge of the High Court in 2003. He served as the Chief Justice of the Court of Final Appeal from 2010 to 2021.

He is the Editor in Chief of Arbitration in Hong Kong: A Practical Guide (1st to 5th editions). He is also a member of the International Advisory Board of the Hong Kong International Arbitration Centre, on their Panels of Arbitrators and Mediators, and is also on the Panel of Arbitrators of the Singapore International Arbitration Centre. He is also a door tenant of Brick Court Chambers, London and has offices in Singapore.



Ms. Ka Shi Lau, BBS
MAoF, FHKSI (Hon), FCG, HKFCG, FHKIoD, CTP
Chairman, Hong Kong Trustees' Association
Senior Advisor, BCT Group

After serving as Managing Director & CEO for 23 years and navigating BCT Group to reach new horizons and marking the group's history with major transformations, Ms. Ka Shi Lau assumed the role of Senior Advisor in June 2022 while she continues contributing to public service and to financial services industry work through serving on executive committees of various industry/professional organisations and working in close liaison with the Government and regulators.

Apart from being the Chairman of Hong Kong Trustees' Association, Vice Chairman of Asian Corporate Governance Association, and a Member of Academy of Finance, she also serves on other regulatory, statutory, and professional bodies including Mandatory Provident Fund Schemes Authority, Securities and Futures Commission, Hong Kong Retirement Schemes Association, Hong Kong Institute of Certified Public Accountants, and Our Hong Kong Foundation. Her public service roles have included Minimum Wage Commission, Hospital Authority, Women's Commission, Community Investment & Inclusion Fund, Pamela Youde Nethersole Eastern Hospital, Financial Services Development Council, Investor and Financial Education Council, and Trade Development Council. For recognition of her public and community service in Hong Kong, she was awarded the Bronze Bauhinia Star (BBS) by the HKSAR Government in 2013.

Speakers



Mr. Jason Fong
Global Head of Family Office
Invest Hong Kong

Mr. Jason Fong was appointed as the Global Head of FamilyOfficeHK, Invest Hong Kong (“InvestHK”) in April 2023. InvestHK is the department of the Hong Kong SAR Government, and FamilyOfficeHK at InvestHK is responsible for promoting Hong Kong as the international hub and preferred choice of family offices.

Mr. Fong is a veteran in the banking and asset management industry with over 27 years of experiences before joining FamilyOfficeHK. He has held various senior positions with international financial institutions over his career and he has wide exposure in the Asia Pacific region including Mainland China, Hong Kong, Taiwan, Singapore, Malaysia, Philippines, Japan and Korea. Mr. Fong was re-located to Mainland China and based in Beijing, Shanghai and Shenzhen, during his terms with international banks to take up onshore leadership.

Mr. Fong spent his early years in United Kingdom and graduated from the University of Leeds. He is an expert in family business and family office. He served as a senior consultant to banking associations and government advisory bodies. Mr. Fong is also the member of HKTDC Financial Services Advisory Committee and Steering Committee member of Asian Financial Forum.



Dr King-lun Au, MH, FHKSI (Hon)
Executive Director, Board Member
Financial Services Development Council

Dr Au is Executive Director of Financial Services Development Council (FSDC). Prior to joining the FSDC, he was President of Value Partners Group Limited. Dr Au also served as CEO of Eastspring Investments (Hong Kong) Limited and CEO of BOCHK Asset Management Limited. He also held various senior management positions at other financial institutions including Baring, GMO and HSBC Global Asset Management.

Dr Au was named CEO of the Year in Hong Kong by Asia Asset Management in 2012 and 2014. He was awarded the Medal of Honor by the HKSAR Government in 2008 for his valuable contributions to the securities and asset management industry. He was also the Chairman of the Hong Kong Securities and Investment Institute (HKSI) from 2006 to 2008 and the Chairman of the Hong Kong Investment Funds Association in 2004/2005.

Dr Au is currently serving as a Council member of the Hong Kong Institute of Chartered Public Accountants, an Index Advisory Committee member of China Securities Index Co., Limited and an Adjunct Professor at the University of Hong Kong among other public services.

Dr Au is a CFA Charterholder and a Honorary Fellow of HKSI. He received his BA in Physics from the University of Oxford and PhD in Theoretical Particle Physics from the University of Durham.

Speakers



Ms. Deirdre Fu
*Partner, Wealth Planning and Tax
Withers*

Deirdre is a partner in the private client and tax team at Withers.

She focuses on trust and succession planning, and advises high-net-worth individuals and institutional trustees on wealth protection structuring as well as the importance of planning for incapacity. She also advises on taxation in Hong Kong for individuals and family investment holding vehicles, and related planning opportunities. She has also advised corporations on the set up of employee share trusts.

Deirdre is listed as an 'up and coming' private wealth practitioner in Chambers' HNW Guide 2021-2023. She has been recommended as a leading private client lawyer in Who's Who Legal. Furthermore, she has been recognised in Legal 500 Asia-Pacific 2019-2022; Citywealth's 2019 Powerwomen List and Citywealth's 2019 Leading Private Client Lawyers list.

She passed the STEP diploma on International Trust Management with Distinction in 2014. She is qualified in England & Wales, Hong Kong and the Greater Bay Area of China.



Ms. Carolyn Butler
*Chairman of Education Subcommittee
Hong Kong Trustees' Association
Managing Director
International Fiduciaries Limited*

Carolyn Butler is the Managing Director of International Fiduciaries Limited which specialises in solutions for multi-national private clients and families offices.

She has over 30 years experience in providing a broad range of family succession and business solutions for clients including trusts, private funds, retirement schemes, licensing and succession planning vehicles.

Speakers



Mr. Alvin Yap

*Group Managing Director and Principal Consultant
A.D. Financial Group – Asian Multi Family Office*

Alvin Yap is the Principal Consultant and Managing Director of A.D. Financial Sdn Bhd (“A.D. Financial”). Under his leadership, A.D. Financial has expanded its footprint in Asia by establishing offices in Australia, Singapore and Hong Kong to provide consultancy for ultra high net worth clients from Singapore, Indonesia, Vietnam, China and other countries for the past 20 years. Besides that, he is highly sought-after to speak in regional and international family wealth planning seminars. His expertise in Business Succession Planning has led many business owners and founders of listed companies to seek his advice on their complex business continuation and personal wealth matters. Alvin is currently devoting his Practice to Multi Family Office Advisory for high net worth Individuals in structuring their complex wealth matters with a view to preserve their hard-earned wealth. A strong believer of “Preservation First, Accumulation Second” and “Distribution Last”, Alvin’s expertise injects a fresh perspective on how wealth and family values can be preserved for many generations in Asian families.



Mr. Michael Shue

*Vice Chairman
Hong Kong Trustees’ Association*

Michael is a lawyer who has practised for more than 30 years. A large part of Michael’s legal practice involved corporate and trust structures, assisting clients with asset protection, estate and family succession and tax planning, wills, probate, and estate and trust administration.

He holds a New Zealand law degree, is currently Managing Director of Tricor Trust, Vice Chairman (and formerly Chairman) of the Hong Kong Trustees’ Association (“HKTA”), and is Co-Chairman of the China Development Sub-Committee of the HKTA jointly with STEP Hong Kong.

Certificate Presentation Ceremony and Cocktail Reception

6:00pm – 6:30pm

Registration

6:30pm – 6:35pm

Welcoming Remarks

Ms. Ka Shi Lau, BBS

Chairman, Hong Kong Trustees' Association

6:35pm – 6:45pm

Speech by Guest of Honor

Mr. Laurence Li

Chairman, Financial Services Development Council

6:45pm – 7:00pm

Presentation of CTP and TTC Certificates

Mr Joseph Chan, JP

Under Secretary for Financial Services and the Treasury

Mr. Laurence Li

7:00pm – 7:15pm

Presentation of TTC Certificates

Mr. Colin Shaftesley

Chairman, Hong Kong Securities and Investment Institute

Ms. Ka Shi Lau, BBS

7:15pm – 7:20pm

Closing Remarks

Mr. Michael Shue

Vice Chairman, Hong Kong Trustees' Association

7:20pm – 8:30pm

Cocktail continues



強制性公積金計劃管理局
MANDATORY PROVIDENT FUND
SCHEMES AUTHORITY



The eMPF Platform

The eMPF Platform project, the largest reform initiative ever introduced to the Mandatory Provident Fund (MPF) System, is a ground-breaking fintech project in Hong Kong. By **standardizing**, **streamlining** and **automating** the scheme administration processes, the eMPF Platform will reshape the MPF ecosystem.

Through the adoption of new technologies and future-proof innovative solutions, the Platform will serve as a **centralized electronic platform** to provide **one-stop, digital** and **all-weather** MPF scheme administration services to 4.7 million MPF scheme members and 350,000 employers, bringing about tremendous benefits to all stakeholders of the MPF System.

Key Benefits

Employers & SEPs

- ✓ One-stop enrolment and contribution
- ✓ Reduce paper work and human error
- ✓ Reminder of contribution due date
- ✓ Enhance efficiency and user experience



Employees

- ✓ One-stop management of all accounts
- ✓ Operate anytime, anywhere
- ✓ Lower administration fee
- ✓ Enhance user experience



Trustees

- ✓ Standardize, streamline and automate scheme administration
- ✓ Reduce administrative burden and associated compliance burden and cost



MPFA

- ✓ Improve reliability & accuracy of the MPF System and facilitate enforcement actions
- ✓ Pave way for future reform initiatives



Significant milestones of the eMPF Platform project

Software development of the Platform was largely completed in June 2023, and the tests will be completed within 2023

Migration of the MPF account information to the Platform will commence in Q2 2024

All MPF account information will be migrated to the Platform by 2025 and the Platform will then be fully operational



攜手廿五載 積金建未來

Join our “Friends of MPF” to receive the latest updates on MPF!



HONG KONG A LEADING HUB WHERE GLOBAL FAMILY OFFICES THRIVE

Located in the heart of Asia, Hong Kong is a gateway for unparalleled East-Meets-World opportunities to converge. As a premier global financial centre with direct access to the world's second-largest economy, Hong Kong offers internationally-minded families wide-ranging pathways to invest, a dynamic lifestyle reflecting the city's urban and rural character, and globally competitive educational options.

As host to some of Asia's most performant equity and Initial Public Offering (IPO) markets outside of Mainland China in recent years, Hong Kong has remained a preferred destination of choice for global family offices for decades. The city's allure has been newly strengthened by policy initiatives aimed at boosting its role as a leader in art, philanthropy, sustainability, and technology, fostering an optimal environment for families to preserve, grow and transfer their wealth.

HONG KONG - YOUR PLATFORM TO GROW

#1

THE LARGEST

cross border private wealth management centre in Asia¹



US\$5.75 BILLION OF GREEN BONDS

in triple-currency issued to date, representing Asia's largest ESG bond issuance market²



- US\$2 TRILLION GROSS DOMESTIC PRODUCT

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> 15,000 registered charities and non-governmental organisations (NGO)⁴



ONE OF THE TEN

safest cities in the world⁵



US\$4.55 TRILLION

AUM managed by HK-based asset managers in 2021⁶

ABOUT US

FamilyOfficeHK (FOHK) is a dedicated team offering professional guidance and support to local and global family offices wishing to establish or expand their operations in Hong Kong.

The team at FOHK looks forward to assisting you at every step of your journey in Hong Kong.

CONTACT



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www.familyofficehk.gov.hk



FamilyOfficeHK



香港家族办公室



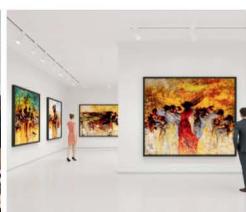
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ASIAN FINANCIAL FORUM

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Thought Leadership

The annual AFF brings together over 3,000 influential members of the global financial and business community for groundbreaking discussions on global economy and explore worldwide investment and business opportunities

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