

## WEBINAR ON

### **Are you doing your fiduciary duty in Minimizing costs and mitigating risks for your clients assets?**

Speakers: Alain Groshens, CEO & Founder & Alex Riteau, Portfolio Manager, SystematicEdge  
Date: 25 February 2021 (Thursday)  
Time: 12:30pm to 1:30pm  
CPD point: 1 point for HKTA Certified Trust Practitioner <sup>TM</sup>  
Law Society CPD being applied for  
Fee: HK\$250 (member); HK\$300 (non-member)

#### **The talk will cover:**

How to minimize costs and mitigate risks for your clients. If you are the trustee for your clients' assets, even if you appoint an investment manager, it is your fiduciary responsibility to ensure that you are minimizing costs that drag on investor's returns, and mitigate risks for your clients.

In this session we will discuss how to effectively safeguard client capital and fulfill your fiduciary duty. Our discussion will include: i) Fees; ii) Transaction costs; iii) Tax; iv) Interest rates and v) Risks

#### **About the speakers**

##### **Carolyn Butler: Managing Director, International Fiduciaries Limited**

Carolyn has been a practitioner of the financial services /trust industry for over 20 years and is an executive committee member of HKTA. She will provide an introduction and an overview of fiduciary duties issues related to client assets.

##### **Alain Groshens: CEO & Founder, SystematicEdge**

Alain founded SystematicEdge because he believes that the traditional financial services industry is at an inflection point and ready to be disrupted. He has decades of experience as an FX and Interest Rates trader and has managed trading teams across the globe. He started his career at Societe Generale, and then built regional and global trading teams at two other global investment banks. Alain and the team at SystematicEdge provide financial services in Investment Solutions & Currency Risk Hedging. They use technology to maximize performance and drastically reduce costs. All SFC regulated activity is conducted by Privium Fund Management (HK) Limited; CE: BGR298

##### **Alexandre Riteau, Portfolio Manager, SystematicEdge**

Alex is a partner in the Portfolio management team at SystematicEdge. Alex started his career with BNP Paribas, where he most latterly worked as a structurer at THEAM working on systematic multi-asset and equity strategies. He has a Masters degree from ENSAE in Statistics as well as an MSc in Risk & Asset Management from EDHEC Business School.

#### **Target audience**

Trust professionals and those working with them

**Important note:** Webinar facility will be provided by HKSI In order to create access to the HKSI webinar platform, participants' names and email addresses will be passed to HKSI upon registration with HKTA. A link will be provided to each participants 2 days prior to each webinar.

For further enquires:

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## WEBINAR ENROLLMENT FORM

Topic: Are you doing your fiduciary duty in minimizing costs and mitigating risks for your clients assets?  
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Name of Company \_\_\_\_\_

Name(s) of Attendee(s) \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

(Please provide email address of each attendee for sending confirmation and attendance certificate)

Email: \_\_\_\_\_ Mobile: \_\_\_\_\_

**Fee & Payment** (please tick as appropriate)

☐ HK\$250 (HKTA member) x \_\_\_\_\_ Person = HK\$ \_\_\_\_\_

☐ HK\$300(non-member) x \_\_\_\_\_ Person = HK\$ \_\_\_\_\_

**Payment method** (please tick as appropriate)

☐ Cheque payment (payable to “HK TRUSTEES’ ASSOCIATION LTD”) is attached and sent to **HKTA, Room 1103, 11/F., V Heun Building, 138 Queen’s Road Central, HK**

☐ By bank transfer (**Standard Chartered Bank A/C No. 447-0-024582-3**). Please email the bank receipt (indicate attendee’s full name and seminar date on it) to **carmenwong@hktrustees.com**

e-Receipt will be issued in favour of company name unless otherwise stated: \_\_\_\_\_

**Do you require an attendance certificate?** (please tick as appropriate)

☐ Yes (**attendees must provide and sign in the e-seminar with the same full name**)

HKTA CTP no: \_\_\_\_\_

☐ No

**Personal data** (please tick)

☐ I agree to have my name and email address sent to HKSI for the purpose of attending the webinar

**Future Communication**

☐ I agree to receive correspondence from the HKTA about its upcoming seminars, training courses, conferences and related activities.

(Your data will not be used for other purposes and is held under strict controls in accordance with the Hong Kong Data Privacy Ordinance.)

**Notes:**

1. Post-dated cheque will **NOT** be accepted.
2. Payment are non-refundable unless the event is cancelled by the Association.
3. e-Attendance certificate will be issued within 10 working days after the webinar. **Attendees must provide and sign in the webinar with the same full name provided in this enrolment form.**
4. In order to be eligible to HKTA CPD hours, participants are required to attend the entire webinar from 12:30pm to 1:30pm. Webinar recording is **NOT** available.
5. No CPD point will be granted if the attendee is late or early departure for 10 minutes for the seminar/webinar.