

CONFIDENTIAL
MARKET MISCONDUCT TRIBUNAL
CV OF INDIVIDUAL
(Type your Name)

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PERSONAL PARTICULARS

Title	: Mr/Mrs/Ms/Miss/Dr./Prof.	Name in English	:
Hon/JP	:	Name in Chinese	:
Sex	: Male/ Female	Chin. Char. Code	:
ID Card No.	:		
Date of Birth (Month and Year)	:	Age	:
Is Hong Kong Permanent Resident	: Yes/No	Nationality	:
Marital Status	:	Spouse Name	:
		Name in Chinese	:
		Have Children	:
Telephone (Off.)	:	Telephone (Res.)	:
Mobile Phone	:	Fax (Off.)	:
E-Mail (Off.)	:	E-mail (personal)	:
Address (Off.)	:	Address (Res.)	:

Mailing Address :

EXPERIENCE

If you have working experience in the following area(s), please list the period(s):

Period

Financial services :
Securities :
Accountancy :
Legal :
Financial technology :

*(Examples included blockchain, robo-advisors, crowdfunding etc)***OCCUPATION / PROFESSION**

Profession :
Post Title :
Company :
Business Details :

EDUCATION**QUALIFICATION**

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LANGUAGE (Please indicate (✓) language proficiency).

	Oral communication		Written communication	
	Cantonese	English	Chinese	English
Fluent				
Fair				
Not applicable				

INTEREST/EXPERTISE

CLOSE RELATIVES SERVING ON GOVERNMENT BOARDS & COMMITTEES

AFFILIATIONS TO POLITICAL PARTIES/POLITICAL GROUPS (OPTIONAL)

CURRENT SERVICES (S) ON GOVERNMENT BOARDS & COMMITTEES

<u>Government Boards and Committees</u>	<u>Office</u>	<u>Appt Date</u>	<u>Expiry Date</u>
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PAST SERVICE (S) ON GOVERNMENT BOARDS & COMMITTEES

<u>Government Boards and Committees</u>	<u>Office</u>	<u>Appt Date</u>	<u>Expiry Date</u>
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COMMUNITY ACTIVITIES

<u>Organisation</u>	<u>Post</u>	<u>Period</u>
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SUPPLEMENTARY INFORMATION

DECLARATION

I have read the **POINTS TO NOTE** attached with this form. I declare that the information provided in this form is accurate and up-to-date to the best of my knowledge. I agree and understand that the personal data I have provided may be used for the purposes listed in paragraph 1 of the **POINTS TO NOTE**.

I also declare that I do not have any conviction record (*including those spent under the Rehabilitation of Offenders Ordinance (Cap. 297)*) or disciplinary record(s) held by any professional bodies or the Securities and Futures Commission (SFC); or subject to ongoing disciplinary action by any professional bodies or the SFC.

Signature : _____ Date : _____

Name (in block letters) : _____

- END -

POINTS TO NOTE

It is voluntary for you to supply the personal data requested of you in this form. Any personal data provided will be treated in compliance with the Personal Data (Privacy) Ordinance (Cap. 486).

Use of Personal Data

1. Personal data provided by means of this form will be retained by the Market Misconduct Tribunal. The personal data will be used by the Market Misconduct Tribunal for the following purposes-

- (a) appointments of Market Misconduct Tribunal Ordinary Members;
and
- (b) to facilitate vetting by the Government Departments.

Personal data provided in this form will not be used for purposes other than those mentioned above unless you have given prescribed consent to such use or unless such use is permitted by the Laws of Hong Kong Special Administrative Region.

Access to Personal Data

2. You have the right to-

- (a) obtain a printed copy of your personal data held by the Market Misconduct Tribunal;
- (b) request the correction of your personal data.

Enquiry

3. All enquiries concerning the personal data collected in this form or the form itself should be addressed to-

Secretary of the Market Misconduct Tribunal
(Tel: 2912 8552 / 2827 1470
Email Address: <mmtnomination@fstb.gov.hk>)

Declaration by Individuals

Please sign the declaration on page 2 of the form and return the completed form to the Secretary of the Market Misconduct Tribunal.