



提升資產財富管理業人才培訓先導計劃
Pilot Programme to Enhance Talent Training for
the Asset and Wealth Management Sector



Three Advanced Asset and Wealth Management Seminars eligible for reimbursement under the Financial Incentive Scheme of the [WAM Pilot Programme](#) ^{note 1}

HKSI Institute Luncheon Seminar

Suitability Obligations – Frequently Asked Questions

Ms Anna Wong

Part-time Lecturer of Faculty of Law of the University of Hong Kong
Senior Fellow of the Hong Kong Securities & Investment Institute

Wednesday, 29 March 2017 12:30pm HKSI Institute



Key content:

- Discuss the requirement of suitability obligations under the Code of Conduct
- Review the clarification and elaboration in the updated 2016 Suitability FAQ
- When suitability obligations would be likely triggered

[ENROL](#)

HKSI Institute Luncheon Seminar

IFRS9 Matters: Impact on Asset and Treasury Management

Mr Peter Wong

Director of PricewaterhouseCoopers Consulting Hong Kong Limited
Member of HKMA/TMA Working Group on Corporate Treasury

Thursday, 30 March 2017 12:00pm HKSI Institute

Key content:

- From IAS39 to IFRS9
- Impact to asset managers
- Impact to treasurers

[ENROL](#)



Product Development in Asset Management and the BREXIT impact

Mr Luke Mackowski
Product Director
Investec Asset Management

Tuesday, 25 April 2017 12:00pm HKSI Institute

Key content:

- Global product development process, governance and regulatory responsibilities
- Pooled fund structures: selecting the optimal structure
- Challenges and opportunities in Europe and Asia
- Brexit: considerations for the asset management industry

[ENROL](#)

Note 1: Financial Incentive Scheme of the WAM Pilot Programme

Overall Aim

1. To enhance the competency and professionalism of in-service practitioners within the asset and wealth management (WAM) sector; and
2. To assist other practitioners within the financial services industry to acquire the knowledge and skills required for entering the WAM field.

Financial Incentive

- Eligible participants will be reimbursed 80% of the fees of eligible course(s), subject to a maximum of HK\$7,000 (whichever is lower) upon satisfactory completion of the course or seminar over the three-year period of the Scheme.
- Fee of each course can only be reimbursed ONCE.

Eligible Participants

An in-service practitioner from across the financial services industry who is:

1. a Hong Kong resident and lawfully employable in Hong Kong; and
2. a full-time in-service practitioner, who is not required to be working in the field of WAM, on the commencement date of the course concerned, employed under:
 - i. a financial institution licensed with or registered by the Securities and Futures Commission or the Hong Kong Monetary Authority; or
 - ii. an insurer authorised under the Insurance Companies Ordinance (Cap. 41), or an insurance intermediary registered with or authorised by the Insurance Agents Registration Board, Professional Insurance Brokers Association or Hong Kong Confederation of Insurance Brokers; or
 - iii. a trust company registered under Part 8 of the Trustee Ordinance (Cap. 29).

Applicant must not be a recipient of any other form of subsidy or financial assistance for the relevant courses from any publicly-funded schemes and/or the applicant's employer.

Total Fee for 3 Courses		WAM Pilot Programme	Savings
Members***	HK\$ 1,620	80% reimbursement after courses completion	HK\$1,296
Non-members	HK\$ 2,260		HK\$ 1,808

How to Apply

Interested practitioners may apply for reimbursement of the fees of the eligible courses to be held on or after 1

October 2016.

Application documents must be submitted within FOUR months from the last day of the course attended through the **WAM Pilot Programme website**.

Applicants are allowed to apply for reimbursement for more than one course.

For details of applications for reimbursement, please visit the application procedure of the WAM Pilot Programme at <https://www.wamtalent.org.hk/eng/reimbursement-form-reminder.html>

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