

Date: 22 March 2017

To: HKTA Members

From: HKTA Secretariat

Subject: MPFA's letter dated 21 March 2017 on Measures to Facilitate the Submission and Streamline the Approval of MPF Product Applications

Measures to Facilitate the Submission and Streamline the Approval of MPF Product Applications

The Authority regularly reviews practices and work flows in handling applications submitted by MPF trustees. In order to facilitate the submission of applications by the industry as well as to streamline the Authority's processing of these applications, the Authority has reviewed and updated the existing 4 checklists currently posted on the Authority's website and has made available 6 new checklists in relation to MPF product applications. Please refer to the attached ANNEX for full details.

All checklists are available for download from the MPFA's website (http://www.mpfa.org.hk/eng/information_centre/forms/mpf_schemes/trustee/index.jsp). You may wish to circulate the letter among members of the Association. Please note that a similar letter has been issued to Hong Kong Investment Funds Association to inform them about the above arrangement. In the meantime, please do not hesitate to contact Ms Wynn timer Yeung, Senior Manager (Supervision) at 2292 1690 or Mr Francis Chan, Senior Manager (Supervision) at 2292 1298 if you have any questions.

ANNEX

Existing Information and documents checklists for MPF products applications	
<u>Checklist details</u>	<u>Last updated</u>
Standard information / document request list for application for the Authority's consent to the merger of MPF schemes	20/3/2017
Standard information / document request list for application for approval of constituent fund	20/3/2017
Standard information / document request list for application for termination and cancellation of approval status of constituent fund	20/3/2017
Standard information / document request list for application for approval to amendment(s) to trust deed and/or offering document of registered scheme or approved pooled investment fund	20/3/2017
New Information and documents checklists for MPF products applications	
<u>Checklist details</u>	<u>Version date</u>
Standard Information / document request list for application for approval of pooled investment fund	20/3/2017
Standard Information / document request list for application for termination and cancellation of approval status of approval status of pooled investment fund	20/3/2017
Application self assessment form for approval of index-tracking collective investment schemes	28/2/2017
Standard information / document request list for application for approval of participation agreement ("PA") / Changes to PA	20/3/2017
Standard information / document request list for application for prior consent to the appointment of controller of approved trustee	20/3/2017
Standard information / document request list for application for approval of overseas banks and overseas trust companies	20/3/2017