

WEBINAR ON

New Type 13 Regulated Activity for Custodians and Trustees of Authorized Funds

Speakers: Pauline Woo, Counsel, Financial Services, Deacons
Isabella Wong, Senior Associate, Financial Services, Deacons
Date: Mon 19 Oct 2020
Time: 5:30pm to 6:30pm
CPD point: One point for HKTA Certified Trust Practitioner™
Law Society CPD points being applied for
Fee: HK\$250 (member); HK\$300 (non-member)

The talk will cover:

To introduce the upcoming SFC licensing regime for trustees and custodians of authorized funds, including the scope of regulated functions and the licensing requirements.

About the speakers:

Pauline Woo, Counsel, Financial Services, Deacons

Pauline Woo is a Counsel of Deacons' Financial Services Practice Group and focuses on advising managers and trustees of SFC authorized funds including MPF schemes and APIFs. Prior to re-joining Deacons in 2014, Pauline has gained in-house legal counsel experience at one of the leading service providers for pension scheme administration.

Isabella Wong, Senior Associate, Financial Services, Deacons

Isabella Wong, a lawyer qualified in Hong Kong, is a Senior Associate of Deacons. Prior to joining Deacons she worked at the Securities & Futures Commission for close to five years where she gained hands-on licensing experience with hedge fund managers, PRC financial institutions, dark-pool operators, sponsors and brokers. She was involved in the policy work around the creation of the OTC derivatives regulatory regime.

Isabella has also worked in private practice specialising in regulatory and corporate matters. She is now focusing her practice advising on SFC licensing, compliance and regulatory matters

Important note: Webinar facility will be provided by Deacons. In order to create access to the WebEx platform, participants' names and email addresses will be passed to Deacons upon registration with HKTA. A link will be provided to each participants 2 days prior to each webinar.

For further enquires:

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香港信託人公會
Hong Kong Trustees' Association

WEBINAR ENROLLMENT FORM

Topic: New Type 13 Regulated Activity for Custodians and Trustees of Authorized Funds
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Name of Company _____

Name(s) of Attendee(s) _____

Contact Person: _____ Phone: _____

(Please provide email address of each attendee for sending confirmation and attendance certificate)

Email: _____ Mobile: _____

Fee & Payment (please tick as appropriate)

HK\$250 (HKTA member) x _____ Person = HK\$ _____

HK\$300 (non-member) x _____ Person = HK\$ _____

Payment method (please tick as appropriate)

Cheque payment (payable to “**HK TRUSTEES’ ASSOCIATION LTD**”) is attached and sent to **HKTA, Room 1103, 11/F., V Heun Building, 138 Queen’s Road Central, HK**

By bank transfer (**Standard Chartered Bank A/C No. 447-0-024582-3**). Please email the bank receipt (indicate attendee’s full name and seminar date on it) to carmenwong@hktrustees.com

e-Receipt will be issued in favour of company name unless otherwise stated: _____

Do you require an attendance certificate? (please tick as appropriate)

Yes (**attendees must provide and sign in the e-seminar with the same full name**)

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No

Personal data (please tick)

I agree to have my name and email address sent to Deacons for the purpose of attending the webinar

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I agree to receive correspondence from the HKTA about its upcoming seminars, training courses, conferences and related activities. (Your data will not be used for other purposes and is held under strict controls in accordance with the Hong Kong Data Privacy Ordinance.)

Hong Kong Trustees’ Association Limited
Room 1103, 11/F., V Heun Building, 138 Queen’s Road Central, HK
Website: www.hktrustees.com Tel.: 3703 5170

Note:

1. Post-dated cheque will **NOT** be accepted.
2. Payment are non-refundable unless the event is cancelled by the Association.
3. e-Attendance certificate will be issued within 10 working days after the webinar. **Attendees must provide and sign in the webinar with the same full name provided in this enrolment form.**
4. In order to be eligible to HKTA CPD hours, participants are required to attend the entire webinar from 5:30pm to 6:30pm. Webinar recording is **NOT** available.
5. No CPD point will be granted if the attendee is late or early departure for 10 minutes for the seminar/webinar.